| STATEMENT UNDER 37 CFR 3.73(b) | | | | | | | |
|--|--|--|--|--|--|--|--|
| Applicant/Palent Owner: Novartis | | | | | | | |
| Application No./Patent No.: 10/538,201 Filed/issue Date: March 8, 2006 | | | | | | | |
| Enittled: ANTIBODY ("11C7") ANTI NOGO A AND ITS PHARMACEUTICAL USE | | | | | | | |
| Novartis , a Corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) | | | | | | | |
| states that it is: | | | | | | | |
| 1. the assignee of the entire right, title, and interest; or | | | | | | | |
| 2. an assignee of less than the entire right, title and interest. | | | | | | | |
| (The extent (by percentage) of its ownership interest is%) | | | | | | | |
| in the patent application/patent identified above by virtue of either: | | | | | | | |
| A. An assignment from the inventor(s) of the patent application/patent identitied above. The assignment was recorded in the United States Patent and Trademark Office at Real Frame, or for which a copy thereof is attached. | | | | | | | |
| OR | | | | | | | |
| 8. A chain of Illie from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: | | | | | | | |
| 1. From:To: | | | | | | | |
| The document was recorded in the United States Patent and Tradsmark Office at | | | | | | | |
| Ree!, Frame, or for which a copy thereof is attached. | | | | | | | |
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| Reel , or for which a copy thereof is attached. | | | | | | | |
| 3. From: | | | | | | | |
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| Reel, Frame, or for which a copy thereof is attached. | | | | | | | |
| Additional documents in the chain of title are listed on a supplemental sheet. | | | | | | | |
| As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. | | | | | | | |
| [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08] | | | | | | | |
| The undersigned whose title is supplied below) is authorized to act on behalf of the assignee. | | | | | | | |
| June V. Market Arthur Canoning + 4/6/324 66 3/ | | | | | | | |
| June V. Merkel Arthur Canoning + 4/6/324 66 3/ Printed or Typed Name Telephone Number Administrative Professionals Tille | | | | | | | |
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| | | | Application Number 10/538,201 | | | | | | |
| | POWER OF ATTORNEY | | | | Filing Date March 8, 2006 | | | | |
| l | OR [| | | | First Named Inventor Carmen Barske | | | | |
| REVOCATION OF POWER OF ATTORNEY | | | | Antibody (11C7) anti Nogo A and its | | | | | |
| WITH A NEW POWER OF ATTORNEY | | | | Title pharmaceutical use . | | | | | |
| AND | | | | | Art Unit 1647 | | | | |
| CHANGE OF CORRESPONDENCE ADDRESS | | | | | Examiner Name S. L. Wegert | | | | |
| | | | | Atterney Docket No. 82922(302934) | | | | | |
| I hereby revoke all previous powers of attorney given in the above-identified application. | | | | | | | | | |
| | A Power of Attorney is submitted herewith. | | | | | | | | |
| | | | | | | optication 24074 | | | |
| | OR i hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: | | | | | | | | |
| | Name Registration Number | | | tration [| Name | | | Registration Number | 1 |
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| W. | ima or | EDWARDS AN | GELL PAL | MER & I | DÖDGE | LLP | | | |
| <u> </u> | ndividual Name | Kathleen William | I\$. | | | | | | |
| Address | s P.O. Bax | k 55874 | • | | | | | | |
| City | Baston | ··· | State | MA | | Zlp | 02205 | | |
| Country | USA | | Telephone | (617) 23 | 9-0100 | Email | 1.404 | | |
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| | oplicant/inver | ntar. | | | | | | | - |
| | OR Application verifor. | | | | | | | | |
| | • | ord of the entire in | terest. See | S7 CFR | 3,71. | | | | |
| | | or 37 CFR 3.73(b) | | | | i herewith or fi | led on | | |
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| Signa | iture | 11.16- | ス/ ⊂ | all | W | Date | 70 | 11 700 | 8 |
| Name / Tura Violet Mentel Arthur Canon Jelephone + 4/ 6/ 324 66 8/ | | | | | | | | | |
| Title and Company Authorized Signer, Novartis | | | | | | | | | |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below. | | | | | | | | | |
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| Applicant/Patent Owner: University of Zurich | | | | | | | |
| | | | | | | | |
| Application No/Patent No.: 10/538,201 F | iled/Issue Date: March 8, 2006 | | | | | | |
| Entitled: Antibody (11C7) anti Nogo A and its pharmaceutica | al use | | | | | | |
| University of Zurich , a | university | | | | | | |
| (Name of Assignee) (Type of Assig | university nea, a.g., corporation, parinership, prevensity, government agency, etc.) | | | | | | |
| states that it is: | • | | | | | | |
| 1. | | | | | | | |
| . an assignee of less than the entire right, title and interest. | | | | | | | |
| (The extent (by percentage) of its ownership interest is%) | | | | | | | |
| in the patent application/patent identified above by virtue of either: | | | | | | | |
| A. An assignment from the invegtor(s) of the patent applicable | no/patent identified above. The assignment was | | | | | | |
| A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel | | | | | | | |
| Frame, or for which a copy thereof is attached. | | | | | | | |
| OR | | | | | | | |
| A chain of title from the inventor(s), of the patent application/p. | atent identified above, to the current assignee as follows: | | | | | | |
| 1. From: | Го: | | | | | | |
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| Reel, Frame, | or for which a copy thereof is attached. | | | | | | |
| 2. From: | To: | | | | | | |
| The document was recorded in the United States Patent and Trademark Office at | | | | | | | |
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| Reel , Frame , o | or for which a copy thereof is attached. | | | | | | |
| Additional documents in the chain of title are listed of | on a supplemental sheet. | | | | | | |
| As required by 37 CFR 3.73(b)(1)(i), the documentary evident assignee was, or concurrently is being, submitted for recordar | ce of the chain of title from the original owner to the tion pursuant to 37 CFR 3.11. | | | | | | |
| [NOTE: A separate copy (i.e., a true copy of the original assignment Division in accordance with 37 CFR Part 3, to rec See MPEP 302.88] | | | | | | | |
| The undersigned (whose title is supplied below) is authorized to a | act on behalf of the assigned. | | | | | | |
| Signal and the second s | 730 K | | | | | | |
| Signature | Date | | | | | | |
| Adrian Sigrist | 141 44 674 44 58 | | | | | | |
| Technology: Typuster, Officer | Telephone Number | | | | | | |
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| 1619 | | | | | | | |

PTO/SS/81 (07-08)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a violational countries of the plays a violation of the countries of the plays a violation of the player of the **Application Number** 10/538,201 POWER OF ATTORNEY Filing Date March 8, 2006 OR First Named Inventor Carmen Barske REVOCATION OF POWER OF ATTORNEY Antibody (11C7) anti Nogo A and its WITH A NEW POWER OF ATTORNEY pharmaceutical use Title **AND** Art Unit 1647 CHANGE OF CORRESPONDENCE ADDRESS Examiner Name S. L. Wegert 82922(302934) Attorney Docket No. I hereby revoke all previous powers of attorney given in the above-identified application. A Power of Attorney is submitted herewith. I hereby appoint Practitioner(s) associated with the Inflowing Customer Number as my/our attorney(s) or agent(s) to presecute the application 21874 identified above, and to transact all business in the United States Patent and Trademark Office connected therewith: I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above and to transact all business in the United States Patent and Trademark Office connected therewith Registration Number Registration Number Name Name Please recognize or change the correspondence address for the above-identified application to: The address associated with the above-mentioned Customer Number: OR . The address associated with Customer Number: EDWARDS ANGELL PALMER & DODGE LLP Firm or Intilvidual Name Kathleen Willams P.O. Box 55874 Address Boston 02205 MA Ζīρ State USA Country Telephone (617) 239-0100 [Email I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on SIGNATURE of Applicant or Assignee of Record 1228 Signature Dec. 4 Date SIGRIST UMITOR Name Telephone 4441 44 634 44 38 Title and Company Authorized Signer, University of Zurich NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(a) are required. Submit multiple forms it more than one signature is required, see below*.

*Total of

forms are submitted.